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<b>(21) International Application Number:</b> PCT/CA95/00059 <b>(22) International Filing Date:</b> 7 February 1995 (07.02.95) <b>(30) Priority Data:</b> 9402331.4                      7 February 1994 (07.02.94)                      GB <b>(71) Applicant (for all designated States except US):</b> MCGILL UNIVERSITY [CA/CA]; 845 Sherbrooke Street West, Montréal, Québec H3A 1B1 (CA). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> SARAGOVI, Uri, H. [US/CA]; 342 Lansdowne Avenue, Westmount, Québec H3Z 2L4 (CA). LESAUTEUR, Lynne [CA/CA]; 210 Coronation, Rosemère, Québec J7A 1P3 (CA). CUELLO, A., Claudio [GB/CA]; 73 Rosemont Crescent, Westmount, Québec H3Y 2C8 (CA). <b>(74) Agents:</b> COTE, France et al.; Swabey Ogilvy Renault, 1981 McGill College Avenue, Suite 1600, Montréal, Québec H3A 2Y3 (CA).		<b>(81) Designated States:</b> AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, JP, KE, KG, KP, KR, KZ, LK, LR, LT, LU, LV, MD, MG, MN, MW, MX, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SI, SK, TJ, TT, UA, US, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE, MW, SD, SZ).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
<b>(54) Title:</b> NERVE GROWTH FACTOR STRUCTURAL ANALOGS AND THEIR USES <b>(57) Abstract</b> <p>The present invention relates to a cyclic compound having a 3-dimensional structure which binds to neurotrophin receptors under physiological conditions <i>in vitro</i> or <i>in vivo</i>, and wherein said binding to said receptor at least partially mimics or inhibits said neurotrophin biological activity. The neurotrophin is selected from the group consisting of NGF, BDNF, NT3 and NT-4/5 and the neurotrophin receptor is selected from the group consisting of trkA, TrkB, trkC, trkE and p75. The cyclic compounds of the present invention may be structural analogs of NGF or small monomeric, dimeric or polymeric cyclic compounds that mimic the <math>\beta</math>-turn regions of NGF. Such structural analogs include at least one 3-D conformation selected from a <math>\beta</math>-turn at region 28-36 (A'-A''), 43-49 (A'''-B) and 91-98 (C-D) and three consecutive reverse turns at region 59-65 (B-C). The 3-dimensional conformation of these cyclic compounds may be stabilized by oxidation of cysteine residues to disulfide bridge or other means of cyclization. The present invention also relates to the use of the cyclic compound for the <i>in vivo</i> inhibition or mimicry of neurotrophin binding to its receptor, which may inhibit neurite outgrowth <i>in situ</i> and cause treatment of central or peripheral nervous system diseases, tumors and neuromas.</p>		

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## NERVE GROWTH FACTOR STRUCTURAL ANALOGS AND THEIR USES

### **BACKGROUND OF THE INVENTION**

#### (a) Field of the Invention

The invention relates to cyclic compounds  
5 having a 3-dimensional structure which binds to  
neurotrophin receptors, their uses for the treatment or  
prevention of peripheral and central nervous system  
diseases, neuromas at the end of an amputated limb and  
neoplastic diseases which express neurotrophin  
10 receptors.

#### (b) Description of Prior Art

Nerve Growth Factor (NGF) is a protein which  
has prominent effects on developing sensory and  
sympathetic neurons of the peripheral nervous system  
15 and cholinergic neurons of the CNS. NGF is a  
polypeptide growth factor member of the neurotrophin  
family, which includes Brain Derived Neurotrophic Fac-  
tor (BDNF), Neurotrophin-3 (NT3) and Neurotrophin-4/5  
(NT-4/5). NGF controls the survival and development of  
20 certain neuronal populations and has been reported to  
be a mitogen for other cell types. Two cell surface  
NGF receptors have been characterized on the basis of  
binding affinity and signal transduction properties,  
namely the p75 low affinity NGF receptor (LNGFR) and  
25 p140 trkA.

The p75 receptor ( $K_d=10^{-9}$  M; Johnson, D. et al.  
(1986) *Cell*, 47: 545-554) is a 75 kDa glycoprotein  
member of the TNFR/Fas/CD40 family of receptors (Itoh  
et al., (1991) *Cell*, 66:233-243). p75 contains no  
30 intrinsic catalytic activity but can associate with the  
ERK family of soluble kinases (Volonté C. et al. (1993)  
*J. Biol. Chem.*, 268:21410-21415), and plays a role in  
protection from neuronal apoptotic death (Rabizadeh, S.  
et al. (1993) *Science*, 261:345-348). p75 is also the  
35 low affinity binding receptor for BDNF and NT-3

(Ibáñez, C.F. et al. (1991) *Eur. Mol. Biol. Org. J.*, 10:2105-2110) but these latter growth factors each have distinct trk receptors.

5 The p140 trkA receptor is a 140 kDa glycoprotein encoded by the trk proto-oncogene (Klein, R. et al. (1991) *Cell*, 65:189-197). Scatchard analysis of cells expressing only trkA receptors showed a curvilinear plot with  $K_d \sim 10^{-11}$  M and  $10^{-9}$  M (Jing, S. et al. (1992) *Neuron*, 9: 1067-1079). The trkA receptor  
10 has intrinsic tyrosine kinase activity and is capable of evoking cellular neurotrophic responses *in vitro* in the absence of p75 LNGFR (Hempstead, B.L. et al. (1991) *Nature*, 350: 678-683).

The co-expression of both p75 and p140 allows  
15 detection of a higher affinity NGF receptor and affords a  $K_d \sim 10^{-12}$  M (Jing, S. et al. (1992) *Neuron*, 9: 1067-1079). Hence, p75 can associate with different trk-receptors to form high affinity binding sites but neurotrophin binding specificity is mediated by  
20 distinct trk-receptors (Ip, N.Y. et al. (1993) *Neuron*, 10:137-149). The molecular nature of the functional receptor remains unknown.

The NGF protein has been purified, cloned and sequenced (Angeletti, R.H. et al. (1973) *Biochemistry*,  
25 12: 100-115). Cloning of NGF from different species has shown high amino acid sequence homology, and cross-species biological reactivity.

The structure of mouse NGF has been resolved from crystallographic data at 2.3Å resolution  
30 (McDonald, N.Q. et al. (1991) *Nature*, 345: 411-414). In the crystals, the NGF molecule is a tightly associated dimer made up of parallel protomers of 118 amino acids. Each protomer has seven  $\beta$ -strands forming three antiparallel pairs. The  $\beta$ -strands are linked by four  
35 exposed regions: three  $\beta$ -turns (termed A'-A'', A'''-B,

and C-D) and one series of three contiguous reverse-turns (termed B-C).

The  $\beta$ -turn and reverse-turn regions had been noted for their hydrophilic nature and unlike the mostly conserved buried residues of the  $\beta$ -strands the  $\beta$ -turns have little conservation between different neurotrophins (Hallbook, F. et al. (1991) *Neuron*, 6: 845-858). The variability and hydrophilicity of these  $\beta$ -turn regions has prompted the hypothesis that they may be involved in determining neurotrophin receptor specificity because several dimeric molecules use  $\beta$ -turns for critical binding surface(s). Similarly, antibodies and other members of the immunoglobulin gene superfamily (Chothia, C. et al. (1987) *J. Mol. Biol.*, 196:901-917) and other globular proteins (Sibanda, B.L. et al. (1989) *J. Mol. Biol.*, 206: 759-777) use  $\beta$ -turns to interact with complementary sequences with high affinity and specificity.

Experimental evidence using mutagenesis and chimeric molecules has sustained this early hypothesis concerning  $\beta$ -turns of NGF. For example, a chimeric BDNF molecule expressing two  $\beta$ -turns regions of NGF was able to induce neurite outgrowth in NGF responsive sympathetic neurons to the same extent as wild type NGF (Ibáñez, C.F. et al. (1991) *Eur. Mol. Biol. Org. J.*, 10:2105-2110).

In spite of the structural information obtained, attempts to create analogs which mimic the activity of NGF  $\beta$ -turns have not been equally successful. Longo et al (Longo, F. et al. (1990) *Cell Reg.*, 1:189-195), found a peptide with sequences from NGF residues 23-35 which inhibited NGF activity. However, this linear peptide did not adopt the  $\beta$ -turn structure from which it was derived in NGF and did not affect the binding of radiolabeled NGF to receptor expressing

cells. The peptides were sequence analogs rather than structural analogs of NGF. Furthermore, the concentration of peptide at which inhibition of NGF biological activity occurred (2 mM) was well within concentrations at which non-specific effects can also occur.

Murphy et al (Murphy, R.A. et al. (1993) *J. Neuroscience*, 13(7): 2853-2862) have used a similar approach to study linear peptides derived from NGF comprising amino acids 23-35, 59-67, 69-79, and 91-100. Some limited biological effects were seen for peptides (59-67) and (91-100) in the *in vitro* assays of neurite survival when suboptimal concentrations of NGF and a 1,000 fold excess of peptide with respect to NGF were used. However, these peptides did not appear to bind the receptors with high affinity and did not compete with radioactive NGF for binding. In addition, antisera raised against the linear peptides did not effectively cross-react with native NGF, further suggesting that the peptides are simply sequence analogs but not true structural analogs of NGF.

The above-mentioned prior studies have contributed to defining important regions of the NGF molecule. However, the exact combination of amino acids of NGF and the 3-dimensional structure(s) that participate in binding to p75 or trkA receptors and cause biological effects remains to be determined.

Frank M. Longo et al. (European Patent Application published under No. EP-A-335,637 on October 4, 1989) have disclosed what are purported to be agonist and antagonist nerve growth factors peptides. These NGF blocking peptides, which are in fact sequence analogs, can be used to inhibit the expression of mRNA and their encoded proteins whose expression is stimulated by NGF. Again, there is no teachings or suggestions of 3-dimensional structures of native NGF or of NGF block-

ing peptides that participate in binding to p75 or trkA receptors. The linear peptide sequences described by Longo et al. represent synthesized fragments of NGF without teachings or concern with structural motifs, architecture, folding, or bioavailability. The inhibitory activity of these non-structural, linear peptide sequence analogs of NGF was observed at very high, non-pharmacological doses of analog (2 mM), tested versus suboptimal doses of NGF. Further, the inhibitory activity reported did not include an ability to affect either NGF binding, specific receptor binding by NGF, receptor dimerization, neurite extension by NGF responsive cells, or other physiological events associated with NGF function or receptor physiology.

It would be highly desirable to be provided with a cyclic compound having a 3-dimensional structure which binds to neurotrophin receptors, which would mimic the neurotrophin 3-D conformation.

## **SUMMARY OF THE INVENTION**

One aim of the present invention is to provide cyclic compounds having a particular 3-dimensional structure which allows them to be capable of binding to the receptor for neurotrophins, such as NGF, BDNF, NT3 and NT-4/5.

Another aim of the present invention is to provide NGF structural analogs which bind to the NGF receptor.

In accordance with the present invention there is provided a cyclic compound having a 3-dimensional structure which binds to neurotrophin receptors under physiological conditions *in vitro* or *in vivo*, and wherein said binding to said receptor at least partially mimics or inhibits said neurotrophins biological activity. The cyclic compound of the present invention may be a structural analogs of NGF or

small monomeric, dimeric or polymeric cyclic compounds that mimic the  $\beta$ -turn regions of NGF. Such a cyclic compound includes at least one 3-D conformation selected from a  $\beta$ -turn at region 28-36 (A'-A''), 43-49 (A'''-B) and 91-98 (C-D) and three consecutive reserve turns at region 59-65 (B-C). The 3-dimensional conformation of these cyclic compounds may be stabilized by oxidation of cysteine residues to disulfide bridge or by other means of cyclization that do not require Cys, including ionic bonds, salt bridges, Lys-Glu or any chemical bonding.

Also in accordance with the present invention, the cyclic compounds may be used *in vivo* as a neurotrophin antagonist to inhibit the neurotrophin binding to its receptor, or to induce the neurotrophin receptor internalization or downmodulation from the surface of cells. Such inhibitions may be used for the treatment of central or peripheral nervous system diseases or for the inhibition of neurite outgrowth *in situ* in cases of neuromas or for targeting the neurotrophin receptor-expressing tumors.

Also in accordance with the present invention, the cyclic compounds may be used *in vivo* as neurotrophin agonist to mimic the neurotrophin binding to more than one neurotrophin receptor. Such agonists may be used for the treatment of central nervous system diseases or for peripheral nervous system diseases, or for inducing re-innervation, accelerating cellular differentiation, or inducing terminal differentiation of receptor-expressing tumors.

The following list provides the cyclic compounds in accordance with the present invention, and this is intended to be made without limitation,

Z-X C K G K E C X;

Z-X C D I K G K E C X;



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Z-X C T A I K G K E C X;  
 Z-X C I K G K E C X;  
 A T D I K G K E V;  
 T I G E A D K K V;  
 5 Z-X C I N N S V C X;  
 Z-X C N I N N S V C X;  
 Z-X C N I N N S C X;  
 V N I N N S V F;  
 N N S F V I N V;  
 10 Z-X C S N P V E S C X;  
 Z-X C A S N P V E S C X;  
 X C A S N P V E C;  
 X C A S N P V E C X;  
 R A S N P V E S G;  
 15 A N V S R S P E G;  
 Z-X C T D E K Q C X;  
 Z-X C T D E K Q A C X;  
 T T D E K Q A A W; and  
 T E Q A T D K A W;

20 wherein,

X is any uncharged amino acid or hydropathic amino acid; and

Z is a absent or a protective group selected  
 from the group consisting of Fmoc group and acetyl  
 25 groups.

The following list provides the preferred cyclic compounds in accordance with the present invention,

X C K G K E C X;  
 30 X C D I K G K E C X  
 X C I K G K E C X  
 X C I N N S V C X;  
 X C N I N N S V C X;  
 X C N I N N S C X;  
 35 X C S N P V E S C X;

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X C A S N P V E S C X;  
X C A S N P V E C X  
X C T D E K Q C X; and  
X C T D E K Q A C X.

5       The cyclic compounds of the present invention are active in various forms, as follows:

- (i)       N-terminus can be acetylated or Fmoc protected;
- (ii)      next to Cys(C), a Tyr(Y), Phe(F), His(H) or Gly(G) can be added, for example:

10                       YCTDEKQCY  
                         HCTDEKQCH;

- (iii)     some substitutions of the following amino acid side chains are allowed, Asp (D) for Glu (Q) or Glu (Q) for Asp (D) in A'-A'' and C-D turns;
- 15   (iv)    racemization of amino acids during synthesis or purification is allowed.

          Also in accordance with the present invention, the cyclic compounds can be linked to a tracer (metal chelator, radiolabel, biotin or other chemical groups)  
20   to follow the fate of the analog *in vivo*. Also this is useful for imaging or for cytotoxicity of trkA expressing tumors *in vivo* especially if toxins are linked, or if other therapies are combined.

          Also in accordance with the present invention,  
25   there is provided a method for the treatment of central nervous system or peripheral nervous system diseases and neuromas in a patient, which comprises administering an effective amount of a cyclic compound of the present invention to a patient.

30       Also in accordance with the present invention, there is provided a method for immunization of a mammal against the cyclic compounds of the present invention, which comprises administering by systemic injection an immunizing amount of at least one of the compounds in

an immunogenic form in association with a pharmaceutically acceptable carrier.

Also in accordance with the present invention, there is provided a pharmaceutical composition for the treatment of central nervous system diseases and neuro-  
5 mas, which comprises an effective amount of a cyclic compound of the present invention in association with a pharmaceutically acceptable carrier.

Also in accordance with the present invention, there is provided a method for immunization against the structural analogs of the present invention by systemic  
10 injection of these compositions in an immunogenic form.

For the purpose of the present invention the following terms are defined below.

15 The term "neurotrophin" refers to any neurotrophins, such as NGF, BDNF, NT3 and NT-4/5 among others.

The term "neurotrophin receptors" refers to trkA, trkB, trkC, trkE and p75 among others.

20 "Agonist" refers to a NGF structural analog in accordance with the present invention which binds to a NGF receptor and mimic NGF in that it is capable of at least one biological activity normally associated with NGF.

25 "Antagonist" refers to a NGF structural analog in accordance with the present invention which binds to a NGF receptor and inhibits at least one biological activity normally associated with NGF.

30 "Substantial homology" as used herein refers to substantial correspondence between the identity and the sequence of amino acid residues of the native NGF protein and the NGF structural analogs.

The term "effective amount" refers to the amount of NGF structural analogs required to produce a  
35 desired agonist or antagonist effect of the

neurotrophin biological activity. The precise effective amount will vary with the nature of NGF structural analogs used and may be determined by one of ordinary skill in the art with only routine experimentation.

As used herein, the terms "neurotrophin-mediated activity" or "neurotrophin-associated activity" refers to cellular events triggered by neurotrophin, being of either biochemical or biophysical nature. The following list is provided, without limitation, which discloses some of the known activities associated with neurotrophins, such as NGF: ion flux, phospholipid metabolism, activation of cyclic AMP-dependent protein kinase, activation of cyclic AMP-independent protein kinase and other protein kinases, protein phosphorylation, activation of oncogenic proteins, activation of RNA transcription, stabilization of mRNA species, and enhancement of protein synthesis, receptor stabilization, receptor dimerization or oligomerization, receptor internalization, and eventual protection from cellular apoptosis, induction of growth, and differentiation.

The term "central nervous system diseases" as used herein refer to a disease state in a mammal which includes degenerative growth, development disorders and disorders of the nervous system. Thus diseases characterized by the loss of function and/or degeneration of neurons and nerves. In addition, any disease that can respond to treatment of NGF-responsive or NGF-synthesizing cells with the peptides of the present invention is within the scope of the invention. Exemplary disorders include without limitation, Alzheimer's disease, Down's syndrome, Creutzfeldt-Jacob disease, kuru, Gerstman-Straussler syndrome, scrapie, transmissible mink encephalopathy, Huntington's disease, Riley-Day

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familial dysautonomia, multiple system atrophy, ALS (amyotrophic lateral sclerosis) or Lou Gehrig's disease, Parkinson's disease and the like.

5 The term "neuromas" as used herein refer to a disease state in a mammal which includes neurite out-growth or other neural growth of an abnormal sort which causes pain at the end of an amputated limb.

10 The terms "neoplasmas or tumors" as used herein refer to a disease state in a mammal which includes tumors of neural origin such as neuroblastomas, or non-neural origin such as melanomas, or hematopoietic tumors.

15 In general, the abbreviations used herein for designating the amino acids are based on the conventional one-letter abbreviations as indicated below:

Alanine	A
Arginine	R
Asparagine	N
Aspartic Acid	D
Cysteine	C
Glutamine	Q
Glutamic Acid	E
Glycine	G
Histidine	H
Isoleucine	I
Leucine	L
Lysine	K
Methionine	M
Phenylalanine	F
Proline	P
Serine	S
Threonine	T
Tryptophane	W
Tyrosine	Y
Valine	V

**BRIEF DESCRIPTION OF THE DRAWINGS**

Fig. 1 illustrates the antagonistic bioactivity of the NGF structural analogs in accordance with the present invention;

Fig. 2 illustrates the competitive inhibition of  $^{125}\text{I}$ -NGF binding to trka by designed NGF structural analogs in accordance with the present invention;

Fig. 3 is a graph which illustrates the inhibition of NGF-induced cell growth or survival by structural analogs;

Fig. 4A illustrates FACScan profiles of surface pl4 trkA using an anti-trkA specific monoclonal antibody, after binding of structural analogs under different conditions; and

Fig. 4B is a graph of the analysis of the % reduction of cell surface mean fluorescence channel after receptor internalization.

**DETAILED DESCRIPTION OF THE INVENTION**

The cyclic compounds in accordance with one embodiment of the present invention are derived from 3-dimensional domains of NGF which are identified as binding to neurotrophin receptors, such as NGF receptor. Such neurotrophin receptor binding interactions by these compounds cause or prevent neurotrophin biological effects *in vivo*.

The cyclic compounds in accordance with one embodiment of the present invention include a different  $\beta$ -turn or reverse turn mimic of the NGF. These compounds can be combined dimers or oligomers in any desired combination of the same or different structures to obtain improved affinities and biological effects.

It would be clear to those skilled in the art that this approach can be used to derive neurotrophin

receptor binding structures from molecules other than neurotrophins themselves, such as the complementary determining region of antibodies directed against the neurotrophin receptors, or from anti-idiotypic antibodies raised against anti-neurotrophin antibodies (Williams et al. (1989) *Proc. Natl. Acad. Sci. USA*, 86:5537; Saragovi, H.U. et al. (1991) *Science*, 253: 792-795).

In accordance with the present invention, structural NGF analogs were produced by designing structural constraints in the analogs in order to maintain the desired conformation (Saragovi, H.U. et al. (1991) *Science*, 253: 792-795, Saragovi, H.U. et al. (1992) *Biotechnology*, 10: 773-778).

It is demonstrated that cyclic analogs which conserve and closely mimic the three-dimensional structure of the  $\beta$ -turn regions bind to NGF receptors. In contrast, their linear counterparts would seldom adopt the appropriate configuration required to fit the ligand docking site.

Small (average molecular weight ~1,500) structural analogs of  $\beta$ -turns or reverse turns of NGF were designed, synthesized and constrained by cyclization, whereas linear and randomized analogs served as controls. Conformationally constrained analogs had significant NGF antagonistic activity and inhibited NGF mediated neurite outgrowth in p75 <sup>L</sup>NGFR-pl40 trkA expressing PC12 cells grown under optimal conditions. Control linear (not constrained) and randomized sequence analogs did not evidence antagonistic activities at the concentrations tested in the neurite outgrowth assay. Furthermore, some biologically active antagonists were also effective in blocking <sup>125</sup>I-NGF binding to PC12 cells. Furthermore, specific receptor-ligand interactions were mapped by testing the ability

of the analogs to inhibit  $^{125}\text{I}$ -NGF binding to p140 trkA receptor expressing fibroblast transfectants.

These data further supports the hypothesis that  $\beta$ -turns are critical in NGF binding to the NGF receptors, and elucidates novel features of receptor-NGF interactions. These data demonstrate that a large macromolecule such as NGF can be reduced to small functional units if the 3-dimensional structure of the native molecule is retained or constrained.

The antagonistic property of the analogs suggests that for agonistic binding the ligand must either engage more than one site on a given receptor, or possess the ability to induce receptor dimerization.

#### Peptide synthesis and characterization

Mouse NGF analogs were synthesized using standard Fmoc synthetic procedures. In order to synthesize the large number of analogs required, the Multipin Cleavable Peptide Synthesis System<sup>TM</sup> (Chiron Mimotopes, Australia) was used. This method allows simultaneous peptide synthesis of 96 peptides on diketopiperazine-linker-derivatized polyethylene pins. Cleavage is performed under mild base conditions using 0.15 M Ammonium bicarbonate pH 8.4. All peptides were synthesized and tested with the amino-termini acetylated and with the Fmoc group intact, and with the diketopiperazine linker present at the carboxy terminus. For cyclization,  $\text{NH}_3\text{-X-Cys}$  and  $\text{Cys-X-COO}^-$  were added to the termini of the indicated peptides, where X can represent any uncharged or hydrophobic amino acid (e.g. Gly when Fmoc-amino termini were present, or Tyr or His when it was absent (see Table I)). Following cleavage the peptides were cyclized by oxidation of the Cysteine residues as described by Saragovi et al. (Saragovi, H.U. et al. (1992) *Biotechnology*, 10: 773-778). Cyclization efficiency



was determined by the Ellman's procedure to be greater than 70%. Peptides were lyophilized, resuspended in water and purified to greater than 85% in a Waters™ HPLC (0.1% trifluoroacetic acid, 40 min 10-40% acetonitrile (ACN) gradient) using a Vydac™ 218TP C18 (1X25 cm) column. Peptides were characterized by aminoacid analysis (Beckman Model 6300 Analyzer™) and by Mass Spectrometry (SCIEX API III™).

#### Cell cultures

10 All cells were cultured as described. PC12 pheochromocytoma cells expressing rat p140 trkA and p75 LNGFR (American Type Culture Collection, 7th edition, 1992, Catalog of cell lines and hybridomas, R. Hay Editor, accession No. CRL 1721) were grown in DMEM  
15 supplemented with 20% Fetal Bovine Serum (FBS), 10% Horse serum, and antibiotics. PC12 cells used for experiments were grown on collagen coated dishes. The NIH-3T3 fibroblast transfectants R7 (p75 and trkA double transfectant) and E25 (trkA transfectant) (Jing,  
20 S. et al. (1992) *Neuron*, 9: 1067-1079; kindly provided by Dr. Mariano Barbacid, Bristol-Meyers-Squibb, NJ) were grown in RPMI media supplemented with 5% FBS and antibiotics and the appropriate drug selection.

#### Neurite outgrowth assay

25 Analogs were tested for their ability to affect NGF induced neurite outgrowth in PC12 cells. PC12 cells were incubated in complete media containing optimal concentrations of NGF (50 ng/ml; approximately 2.0 nM) or basic Fibroblast Growth Factor (bFGF) (50 ng/ml)  
30 (Prince Labs, Toronto). NGF analogs or control analogs were then added to a final concentration of 10  $\mu$ M, cells were incubated and neurite outgrowth was measured every 24 hrs. for three days. Cells without growth factor added served as baseline, whereas cells with  
35 growth factor but without analog added were used for

maximum neurite outgrowth determination. All analogs were tested in at least three separate assays from three different synthesis. Samples were coded and analysis were performed blind.

5 NGF Binding studies

10       Analogues were tested for their ability to affect binding of  $^{125}\text{I}$ -NGF (73.1  $\mu\text{Ci}/\mu\text{g}$  NEN, DuPont).  $0.4-1 \times 10^6$  cells were incubated in binding buffer (HBSS, 1% BSA, 0.05% Na Azide, pH 7.4) at  $4^\circ\text{C}$  with the indicated  
15       concentration of cyclic NGF analogs, control analogs, a titration of unlabeled NGF, or nothing. After 15 min,  $^{125}\text{I}$ -NGF was added to a final concentration of 0.4-2 nM ( $\sim 40,000$  cpm) and the mixtures were further incubated for 40 min at  $4^\circ\text{C}$ . Cells were then washed in binding  
20       buffer, pelleted, and cell-associated  $^{125}\text{I}$ -NGF was determined. Background binding was measured by the addition of  $\sim 2000$  fold excess unlabeled NGF, which resulted in less than 10% of maximum binding; or by measuring binding to NGF receptor negative NIH3T3 cells  
25       ( $\sim 5\%$ ). Assays were performed  $>4$  times, each from at least three different synthesis (inhibitory analogs) or 1-3 times each from 2 different synthesis (non-inhibitory analogs). Receptor saturation experiments were similarly performed with increasing  
concentrations of  $^{125}\text{I}$ -NGF ligand and a constant dose of inhibitor.

Design and synthesis of NGF analogs.

30       Four regions of the NGF primary sequence were defined which differ significantly from the primary sequence of other neurotrophins, corresponding to amino acid numbers 28-36 ( $\beta$ -turn A'-A''), 42-49 ( $\beta$ -turn A'''-B), 59-67 (turn B-C), and 91-99 ( $\beta$ -turn C-D) of mouse NGF (Table I). Cyclic peptides (C) were synthesized, and for each a linear (unconstrained

sequence) (L) control and a scrambled (randomized sequences) (R) control were also made.

**Table I****NGF Derived Structural Analogs**

5

REGION	NGF SEQUENCE	ORIGINAL STRUCTURE/ AMINOACID RESIDUES	ANALOG CODE
A'-A''	Fmoc-XCKGKECX	$\beta$ turn 32-35	C(32-35)
	Fmoc-XCDIKGKECX	$\beta$ turn 30-35	C(30-35)
	Fmoc-XCTAIKGKECX	$\beta$ turn 29-35 ( $\Delta$ D30A)	C(29-35 $\Delta$ D30A)
	Fmoc-XCIKGKECX	$\beta$ turn 31-35	C(31-35)
	ATDIKGKEV	linear 28-36	L(28-36)
	TIGEADKKV	randomized 28-36	R(28-36)
A'''-B	Fmoc-XCINNSVCX	$\beta$ turn 44-48	C(44-48)
	Fmoc-XCNINNSVCX	$\beta$ turn 43-48	C(43-48)
	Fmoc-XCNINNSCX	$\beta$ turn 43-47	C(43-47)
	VNINNSVF	linear 42-49	L(42-49)
	NNSFVINV	randomized 42-49	R(42-49)
B-C	Fmoc-XCSNPVESCX	reverse turn 61-66	C(61-66)
	Fmoc-XCASNPVESCX	reverse turn 60-66	C(60-66)
	XCASNPVEC	reverse turn 60-65	C(60-65)
	RASNPVESG	linear 59-67	L(59-67)
	ANVSRSP EG	randomized 59-67	R(59-67)
C-D	Fmoc-XCTDEKQ CX	$\beta$ turn 92-96	C(92-96)
	Fmoc-XCTDEKQ ACX	$\beta$ turn 92-97	C(92-97)
	TTDEKQAAW	linear 91-99	L(91-99)
	TEQATDKAW	randomized 91-99	R(91-99)

X is any uncharged amino acid or hydrophobic amino acid and Fmoc is the protective group used during synthesis.

10

The indicated sequences (shown from amino to carboxy ends) were synthesized and purified. Cysteine residues not found in the NGF sequences selected were incorporated in the peptides to constrain their confor-

mations by intramolecular disulfide bridging. In some cases other designed modifications of the original NGF sequences were necessary to improve analog solubility and to prevent intermolecular disulfide bridging. For cyclic analogs other designed modifications of the original NGF sequences were done. C=cyclic, L= linear, R= randomized. AD30A is an Alanine substitution for Aspartic acid at position 30.

Crystallographic data and modeling of NGF has shown that the conformation of regions 28-36, 43-49, and 91-98 is a  $\beta$ -turns while that of region 59-65 are 3 consecutive reverse turns. In some of our peptides the conformation predicted to exist in NGF was preserved by cyclization, which forces the peptide into a  $\beta$ -turn mimic (Williams et al. (1989) *Proc. Natl. Acad. Sci. USA*, 86:5537). Cyclization was achieved by introducing Cysteine (Cys) residues in the appropriate positions and subjecting the analogs to oxidation. Oxidation of Cys results in a covalent disulfide bridge which stabilizes the desired conformation. All active peptides were purified by HPLC and characterized by ion spray mass spectrophotometry and amino acid analysis.

#### Inhibition of NGF function in biological assays

Rat PC12 pheochromocytoma cells in culture can differentiate and produce neurites in response to NGF or bFGF. None of the analogs were able to induce neurite projections when added to PC12 cell cultures, suggesting that by themselves they lacked NGF agonistic activity at the concentrations used in these assays.

The effect of the analogs on NGF dependent neurite outgrowth were determined. Cells were incubated with 50 ng/ml NGF (A, C, E, G) or with 50 ng/ml bFGF (B, D, F, H). The indicated NGF analogs were added at ~10  $\mu$ M. Representative results for 3 different analogs are shown. For a summary and

complete list of the results from these biological tests see Table II.

Two of the inhibitory cyclic analogs, C(32-35), and C(44-48) caused enlargement of the cell size and clumping (Table II). However, non-inhibitory analogs L(42-49), and R(59-67) caused a similar effect, suggesting that this was unrelated to activity.

Table II

Bioactivity Of NGF Structural Analogs

10

NGF ANALOG	TURN REGION OF NGF	INHIBITION OF NEURITE OUTGROWTH (1)	CHANGES IN CELL SIZE OR APPEARANCE
C(32-35)	A'-A''	++	LARGER SIZE
C(30-35)		+±	NONE
C(31-35)		++	NONE
L(28-36)		±	NONE
R(28-36)		±	NONE
C(44-48)	A'''-B	+++	LARGER/ROUNDING
C(43-48)		+++	NONE
C(43-47)		+	NONE
L(42-49)		+±	LARGER/ROUNDING
R(42-49)		+	NONE
C(60-66)	B-C	++	NONE
C(60-65)		++	NONE
L(59-67)		+	NONE
R(59-67)		+	LARGER SIZE
C(92-96)	C-D	+++±	NONE
C(92-97)		+++	NONE
L(91-99)		+	NONE
R(91-99)		±	NONE

15

++++ equivalent to 0 ng/ml NGF (complete inhibition)  
 +++ equivalent to 6.25 ng/ml NGF  
 ++ equivalent to 12.5 ng/ml NGF  
 + equivalent to 25 ng/ml NGF  
 - equivalent to 50 ng/ml NGF (no inhibition).

The analogs were assayed as described for Fig. 1, and NGF mediated PC12 neurite outgrowth measured. The arbitrary units indicating antagonistic activity are the result of direct comparisons with NGF dose response curves done in parallel. Significant inhibition is indicated by +++.

Cyclic analogs C(92-96) and C(92-97) derived from  $\beta$ -turn C-D, and C(43-48) and C(44-48) derived from  $\beta$ -turn A'''-B of NGF demonstrated antagonistic activity and significantly inhibited NGF mediated PC12 cell neurite projections (Fig. 1, C, E), but did not affect bFGF mediated responses (Fig. 1, D, F). In contrast, other cyclic analogs (e.g.  $\beta$ -turn A'-A" analog C(30-35); Fig. 1, G and H) and the linear or randomized analogs did not affect either NGF or bFGF mediated neurite outgrowth (for a summary see Table II).

Controls ruled out toxicity and demonstrated the specificity of the analogs as antagonists of NGF. First, no cell death was observed after culture with the compounds (exceptions which did cause necrosis were C(29-35 $\Delta$ D30A) from  $\beta$ -turn A'-A" and C(61-66) from  $\beta$ -turn B-C, and were not used further). Second, when the analogs were removed PC12 cells responded normally to NGF. Third, and most importantly, the NGF analogs did not affect PC12 cell neurite outgrowth in response to bFGF suggesting that the analogs were specific for NGF receptors.

The data presented is the first direct demonstration of the involvement of a defined  $\beta$ -turn region of a polypeptide ligand in binding to a defined neurotrophin receptor. Overall, these data provide support for the notion that  $\beta$ -turn regions are crucial for certain ligand-receptor interactions and this concept may now be applied to other members of the neurotrophin family.

This concept is acceptable to the family of neurotrophins because they are highly related in primary sequence and in structure, and their receptors are also highly related. The neurotrophin ligands differ mostly in their  $\beta$ -turns suggesting that specificity of receptor binding is mediated by  $\beta$ -turns. Replication of this invention with the structure of other neurotrophins would be evident to those skilled in the art.

#### Direct inhibition of NGF binding

To determine the mechanism of biological antagonistic activity by the cyclic analogs,  $^{125}\text{I}$ -NGF binding measurements were performed with cell lines expressing both p75 and trkA receptors (PC12 cells and R7 transfectants) or only trkA receptors (E25 transfectants) (Table III). Analogs were used at a concentration of  $\sim 40 \mu\text{M}$ .

Analogues from the C-D  $\beta$ -turn region were effective in inhibiting  $^{125}\text{I}$ -NGF binding to E25, R7 and PC12 cells. Inhibition of binding was roughly comparable to that obtained with  $0.1 \mu\text{M}$  unlabeled NGF (Table III). These analogues were more efficient at inhibiting NGF binding to E25 cells than to R7 cells. Furthermore, while analogs C(92-96) and C(92-97) inhibited NGF binding to E25 cells to a similar degree (71.3% and 64.9% respectively); C(92-96) was more effective than C(92-97) in blocking NGF binding to R7 cells (51.1% versus 24.3% respectively).

Table III  
INHIBITION OF  $^{125}\text{I}$ -NGF BINDING TO NGF  
RECEPTORS BY NGF STRUCTURAL ANALOGS

INHIBITOR	% INHIBITION		
	PC12 (trkA-p75)	R7 (trkA-p75)	E25 (trkA)
0.1 $\mu\text{M}$ NGF	69.5 $\pm$ 4.5	80.0 $\pm$ 18.9	93.3 $\pm$ 8.1
C(92-96)	86.4 $\pm$ 10.4	51.1 $\pm$ 0.7	71.3 $\pm$ 13.5
C(92-97)	N.T.	24.3 $\pm$ 9.2	64.9 $\pm$ 12.4
C(30-35)	N.T.	0.44 $\pm$ 0.6	51.1 $\pm$ 0.7
C(32-35)	9.0 (*)	-4.5 $\pm$ 6.5	30.7 $\pm$ 10.5
C(44-48)	N.T.	N.T.	-12.5 $\pm$ 5.4
C(43-48)	N.T.	5.0 (*)	-2.7 $\pm$ 8.5
L(91-99)	N.T.	3.8 $\pm$ 1.0	2.1 $\pm$ 8.5
L(28-36)	5.0 (*)	-11.3 (*)	6.4 $\pm$ 12.4
L(42-49)	N.T.	8.0 (*)	-16.0 $\pm$ 15.1
L(59-67)	N.T.	N.T.	-9.5 $\pm$ 7.5

5

The NGF analogs ( $\sim 40 \mu\text{M}$ ) were tested singly for their ability to inhibit  $^{125}\text{I}$ -NGF binding to NGF receptor expressing cells. Data shown represents an average percent inhibition of binding  $\pm$  2 standard errors of the mean (2 SEM). Background binding was determined by adding 2000 fold excess unlabelled NGF.  $n = >4$  (inhibitory analogs), or 1-3 (non-inhibitory analogs). NT= not tested. (\*) assayed only once.

Percent inhibition was determined by applying the following formula:

$$1 - \frac{(\text{test binding} - \text{background binding})}{(\text{maximum binding} - \text{background binding})} \times 100\%$$

15



5       Analogues C(30-35) and C(32-35) derived from  $\beta$ -turn A'-A" region inhibited NGF binding to E25 cells (albeit less efficiently than the C-D region analogs), but did not affect NGF binding to R7 cells. This may suggest that the A'-A" region binds to trkA receptors which are not in association with p75, or that p75 association to trkA changes the conformation of trkA such that the binding site for the analog is not available or stable.

10       None of the other cyclic, linear or randomized analogs had significant effects in  $^{125}\text{I}$ -NGF binding (e.g. C(43-48) or L(91-99), Table III). Note that two biologically active cyclic analogs (A'''-B region analogs C(43-48) and C(44-48), Table II) did not inhibit  
15  $^{125}\text{I}$ -NGF binding in these assays and they may function through a different mechanism.

20       Analogues derived from  $\beta$ -turn A'-A" region also inhibited NGF binding to trkA-expressing E25 cells, albeit with lower potency than the C-D region  $\beta$ -turn analogs. We have not yet measured the  $\text{IC}_{50}$  of A'-A" region analogs, but we expect them to be of lower affinity. A'-A" region analogs did not affect NGF binding to p75/trkA expressing cells at all, suggesting that they only bind trkA receptors which are not in  
25 association with p75.

30       Lack of inhibition by A'-A" region analogs on cells expressing both p75 and trkA can be the result of higher receptor affinity for the ligand. Additionally, a receptor conformational change or masking of the docking site can occur upon heterodimerization of p75 and trkA (Verdi, J.M. et al. (1994) *Neuron*, 12: 733-745). Theoretical models of functional NGF receptors (Chao, M.V. (1992) *Neuron*, 9:583-593; Klein, R. et al. (1991) *Cell*, 65:189-197; Jing, S. et al. (1992) *Neuron*,  
35 9: 1067-1079) are consistent with the possibility that

the trk-docking site of the analogs may be masked upon association of p75 and trkA, but concomitant p75 binding by the analogs could not be formally ruled out.

5       Analog C(43-48) and C(44-48) derived from NGF  
15     $\beta$ -turn A'''-B were effective in inhibiting biological  
assays in PC12 cells without being effective at all in  
binding assays in E25 cells. The biological effects of  
C(43-48) must be mediated by the NGF receptor because  
bFGF was not affected. Perhaps this analog can prevent  
10   trkA receptor dimerization, trkA receptor internaliza-  
tion or NGF stability without actually affecting NGF  
binding. Another explanation is that this inconsistency  
reflects differences between rat and human trkA recep-  
tors which are expressed in neuronal or fibroblastoid  
15   cell lines respectively. Inconsistencies between bio-  
logical and binding responses in transfected fibro-  
blasts versus PC12 cells have been reported (Ip, N.Y.  
et al. (1993) *Neuron*, 10:137-149). The mechanism of  
inhibition by NGF  $\beta$ -turn A'''-B analogs will be  
20   resolved by further studies.

C-D Region Analogs are competitive inhibitors of NGF by  
binding trkA receptors

25       In order to determine the nature of the inhibi-  
tion of  $^{125}\text{I}$ -NGF binding to trkA receptors by the C-D  
region analogs, dose response and saturation studies  
were performed.

30       Dose response studies using increasing amounts  
of analogs (0.4  $\mu\text{M}$  to 200  $\mu\text{M}$ ) or unlabeled NGF (4 nM to  
2  $\mu\text{M}$ ) were performed in the presence of constant 200 pM  
concentration of  $^{125}\text{I}$ -NGF (Fig. 2A). Averages of simi-  
lar experiments showed for analog C(92-96) an  
IC<sub>50</sub>=23.5  $\pm$  16  $\mu\text{M}$  compared to unlabeled NGF  
IC<sub>50</sub>=2.65  $\pm$  0.35 nM (Fig. 2B).

35       Saturation binding assays were performed with  
trkA receptor expressing E25 cells as described above.

Increasing concentration of inhibitors were tested for their ability to inhibit a constant amount of  $^{125}\text{I}$ -NGF (200 pM)(Fig. 2A). A constant amount of inhibitors (~45  $\mu\text{M}$  of C(92-96) analog, or 2000 fold excess unlabeled NGF) were added to increasing concentrations of  $^{125}\text{I}$ -NGF.

Saturation analysis using increasing concentrations of  $^{125}\text{I}$ -NGF (0-1.13 nM) versus a constant concentration of analog (C(92-96); 45  $\mu\text{M}$ ) or a 2,000 fold excess of unlabeled NGF were performed (Fig. 2 B). NGF receptor saturation by  $^{125}\text{I}$ -NGF was displaced by both the analog and unlabeled NGF by reducing receptor availability rather than receptor affinity, suggesting that the inhibition is of a competitive nature.

Taken together, these data demonstrate that cyclic sequence analogs of NGF  $\beta$ -turns C-D and A'-A" likely mimic the native architecture and are therefore able to bind to trkA receptors. Secondary structure requirements for antagonistic activity proved to be absolute because linear and random compounds with primary sequences from all  $\beta$ -turns had no effect at the concentrations tested. Thus, the conformation of the analog must retain some if not many of the features found in the original ligand. This experience is emphasized by previous reports of low affinity or inactive linear analogs of NGF (Longo, F. et al. (1990) *Cell Reg.*, 1:189-195; Murphy, R.A. et al. (1993) *J. Neuroscience*, 13(7): 2853-2862).

Analogues derived from  $\beta$ -turn C-D, inhibited neurite outgrowth induced by NGF in PC12 cells, and inhibited NGF binding to several receptor expressing cells. Since all neurotrophins (except NGF) have an extra amino acid in  $\beta$ -turn C-D, binding specificity of NGF for trkA may be partly explained (Ibáñez, C.F. et al. (1993) *Eur. Mol. Biol. Org. J.*, 12:2281-2293). This

region is likely to confer added specificity to NGF in binding to trkA and perhaps to other neurotrophins in binding to their specific high affinity receptors.

It is unlikely that the mechanism of biological antagonism was to hinder NGF but not bFGF signal transduction because transduction is ras-dependent for both ligands (Kremer, N.E. et al. (1991) *J. Cell Biol.*, 115:809-819). Therefore, the analogs mediate their action by directly binding to the extracellular domain of NGF receptors and in this regard they are different than K252 molecules which mediate their action by inhibition of the kinase activity of trkA (Berg, M.M. et al. (1992) *J. Biol. Chem.*, 267:13-16).

NGF analogs did not behave as agonists of PC12 cells. For agonistic activity the ligand must either engage more than one site on a given receptor, or possess the ability to induce receptor dimerization. Since the analogs are structurally equivalent to only one  $\beta$ -turn region of NGF they would not induce receptor dimerization and would be expected to behave as antagonists in biological assays. Preliminary studies testing combined analogs from NGF  $\beta$ -turns A'-A'' and C-D showed additive (but not synergistic) effects in NGF binding assays. It is expected in accordance with the present invention that appropriate coupling of these analogs as homodimers or heterodimers will likely reveal synergy or agonistic function.

Previous studies have implicated the amino terminus of NGF comprising amino acids 1-9 was also implicated in binding to trkA receptors (Kahle, P. et al. (1992) *J. Biol. Chem.*, 267:22707-22710; Ibáñez, C.F. et al. (1993) *Eur. Mol. Biol. Org. J.*, 12:2281-2293). However, the amino termini was not resolved crystallographically but is not a  $\beta$ -turn (McDonald, N.Q. et al. (1991) *Nature*, 345: 411-414).

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The study of the mechanism of binding by the analogs to trkA only expressing cells versus p75-trkA expressing cells will provide more information concerning receptor-ligand interactions. Furthermore, by creating homodimeric and heterodimeric forms of the analogs in accordance with the present invention we will be generating agonistic ligands that permit receptor dimerization.

The present invention will be more readily understood by referring to the following examples which are given to illustrate the invention rather than to limit its scope.

#### EXAMPLE I

##### Effect of NGF analogs in E25 cell survival

The inhibitory activity of the compounds of the present invention was tested in more sensitive assays of apoptosis. Cells are dependent on the presence of serum for growth and survival. In the absence of serum (i.e. serum-free media) these cells die (apoptosis) but can be rescued from death with NGF. The peptide analogs can specifically prevent NGF rescue of these cells from death, indicating that they are true NGF antagonists.

NGF responsive mouse fibroblasts transfected with human trkA cDNA (E25 cells) were studied. 5,000 E25 cells/well in serum-free media (SFM) were added to 96 well plates (Costar) containing either serum (final concentration 5% FBS), or SFM with serial dilutions of NGF (Prince Labs, Toronto), or the indicated analogs (final concentration 40 ug/ml) in the presence of optimal concentrations of NGF. The proliferative/survival profile of the cells was quantitated using the MTT assay as described over a 36-72 hour period (Fig. 3).

Serum and NGF can rescue SFM induced apoptosis. Addition of certain NGF analogs of the present invention can prevent NGF-mediated rescue, but not serum-mediated rescue as listed in Table IV. Proliferation was calculated as a percent of maximum (serum-induced-100%).

Table IV

	Sequence	Code
Peptide 1=	Fmoc-YCTDEKQCY-OH	C(92-96)
10 Peptide 2=	Acetyl-YCTDEKQCY-OH	C(92-96)
Peptide 3=	Fmoc-YCDIKGKECY-OH	C(30-35)
Peptide 4=	Acetyl-YCDIKGKECY-OH	C(30-35)

Fig. 3 is a graph which illustrates the assays results. \*indicates significant differences from NGF treated cells.

The NGF antagonistic activity of the analogs further indicates that they are structural analogs of NGF. The importance of these assays is two fold. First, the analogs were effective in bioassays using cells other than PC12 cells, expanding the types of tissues tested. Second, it is shown that lack of NGF availability, due to antagonistic activity of the analogs, can induce an active process of cell death (apoptosis). This antagonism may be desired in cases of neuromas at the end of an amputated limb.

#### EXAMPLE II

##### Effect of NGF analogs on trkA receptor internalization

Some of the peptide analogs are shown to induce internalization of the trkA receptor, which may be one mechanism of downmodulation of receptor.

E25 single cell suspensions were first treated with NGF analog C(92-97) (Fmoc-YCTDEKQACY-OH) (10  $\mu$ M) or with NGF (2 nM) using conditions which allow ligand-

induced internalization of surface receptors (37°C, in culture media), or conditions which do not allow internalization (4°C, 0.05% sodium azide) for 30 min.. Expression of surface trkA receptors was quantified by  
5 FACScan analysis.

Fig. 4A illustrates FACScan profiles using an anti-trkA specific monoclonal antibody versus control mouse IgG.

Fig. 4B is a graph of the analysis of the %  
10 reduction of cell surface mean fluorescence channel (MFC, using the Lysis (B.D.) software) after receptor internalization.

NGF and the structural NGF analog C(92-97) induced the disappearance of surface trkA receptors at  
15 the permissive conditions only, indicating ligand-induced receptor internalization.

Induced internalization of receptors by their ligands at 37°C (permissive conditions) is a well-known process. Internalization requires receptor association  
20 with cytoskeletal structures, and clathrin-coated vesicles upon ligand binding (Corvera, S. et al. (1989) *J. Biol. Chem.*, **264**:12568-12572). The ability of the analogs to induce internalization is strong evidence of their binding to NGF receptors and inducing biophysical  
25 changes in the receptor and biological changes in the cell.

Ligands are internalized together with the receptors. This knowledge is useful two fold. First, analogs which are coupled to a toxin can be internal-  
30 ized into a receptor-expressing tumor cell whereby the toxin would then destroy the tumor cell. Second, receptor internalization is a means to temporarily downmodulate receptor expression or responses to a ligand.

While the invention has been described in connection with specific embodiments thereof, it will be understood that it is capable of further modifications and this application is intended to cover any variations, uses, or adaptations of the invention following, in general, the principles of the invention and including such departures from the present disclosure as come within known or customary practice within the art to which the invention pertains and as may be applied to the essential features hereinbefore set forth, and as follows in the scope of the appended claims.



**WE CLAIM:**

1. A cyclic compound having a 3-dimensional structure which binds to at least one neurotrophin receptor under physiological conditions *in vitro* or *in vivo*, and wherein said binding to said receptor at least partially mimics or inhibits said neurotrophin biological activity.
2. The cyclic compound of claim 1, which comprises a compound derived from a complementary determining region of antibodies directed against the neurotrophin receptors or derived from anti-idiotypic antibodies raised against anti-neurotrophin antibodies.
3. The cyclic compound of claim 1, wherein said neurotrophin is selected from the group consisting of NGF, BDNF, NT3 and NT-4/5.
4. The cyclic compound of claim 3, wherein said neurotrophin receptor is selected from the group consisting of trkA, trkB, trkC, trkE and p75.
5. The cyclic compound of claim 4, wherein said neurotrophin is NGF.
6. The cyclic compound of claim 5, which comprises a structural analog of NGF.
7. The cyclic compound of claim 6, wherein said NGF structural analog comprises at least one 3-D conformation selected from a  $\beta$ -turn at region 28-36 (A'-A''), 43-49 (A'''-B) and 91-98 (C-D) and three consecutive reserve turns at region 59-65 (B-C).
8. The cyclic compound of claim 1, 2, 3, 4, 5, 6 or 7, wherein said conformation is stabilized by

oxidation of cysteine residues to disulfide bridge or other cyclization procedures.

9. The cyclic compound of claim 8, which is a monomeric or a polymeric compound comprising at least one peptide selected from the group consisting of:

Z-X C K G K E C X;  
Z-X C D I K G K E C X;  
Z-X C T A I K G K E C X;  
Z-X C I K G K E C X;  
A T D I K G K E V;  
T I G E A D K K V;  
Z-X C I N N S V C X;  
Z-X C N I N N S V C X;  
Z-X C N I N N S C X;  
V N I N N S V F;  
N N S F V I N V;  
Z-X C S N P V E S C X;  
Z-X C A S N P V E S C X;  
X C A S N P V E C;  
X C A S N P V E C X;  
R A S N P V E S G;  
A N V S R S P E G;  
Z-X C T D E K Q C X;  
Z-X C T D E K Q A C X;  
T T D E K Q A A W; and  
T E Q A T D K A W;

wherein,

X is any uncharged amino acid or hydrophobic amino acid; and

Z is a absent or a protective group selected from the group consisting of Fmoc group and acetyl groups.

10. Use of the cyclic compound of claim 1, 2, 3, 4, 5, 6, 7, 8 or 9, for the *in vivo* inhibition of

neurotrophin binding to neurotrophin receptor or the internalization or downmodulation of said neurotrophin receptor.

11. The use of claim 10, for inhibiting neurite outgrowth *in situ*.

12. The use of claim 10, for the treatment of central or peripheral nervous system diseases, tumors and neuromas.

13. The use of the cyclic compound of claim 1, 2, 3, 4, 5, 6, 7, 8 or 9, for mapping hormone-receptor interactive sites and receptor domain-function correlation.

14. A method for the treatment of central or peripheral nervous system diseases, tumors and neuromas in a patient, which comprises administering an effective amount of a cyclic compound of claim 1, 2, 3, 4, 5, 6, 7, 8 or 9 to a patient.

15. A pharmaceutical composition for the treatment of central or peripheral nervous system diseases, tumors and neuromas, which comprises an effective amount of a cyclic compound of claim 1, 2, 3, 4, 5, 6, 7, 8 or 9 in association with a pharmaceutically acceptable carrier.

16. A method for immunization of a mammal against the cyclic compounds of claim 1, 2, 3, 4, 5, 6, 7, 8 or 9, which comprises administering by systemic injection an immunizing amount of at least one of the compounds in an immunogenic form in association with a pharmaceutically acceptable carrier.

1/4

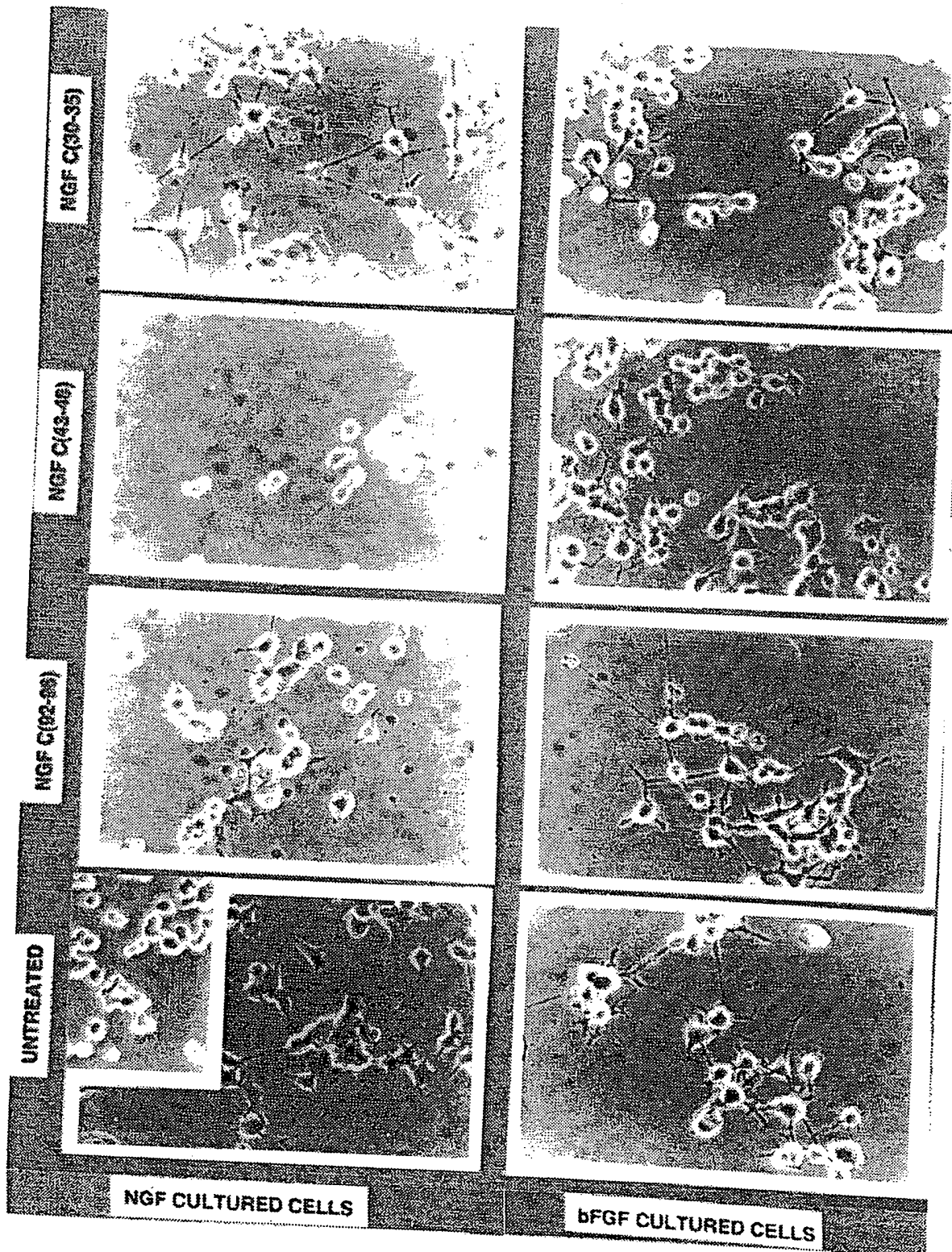
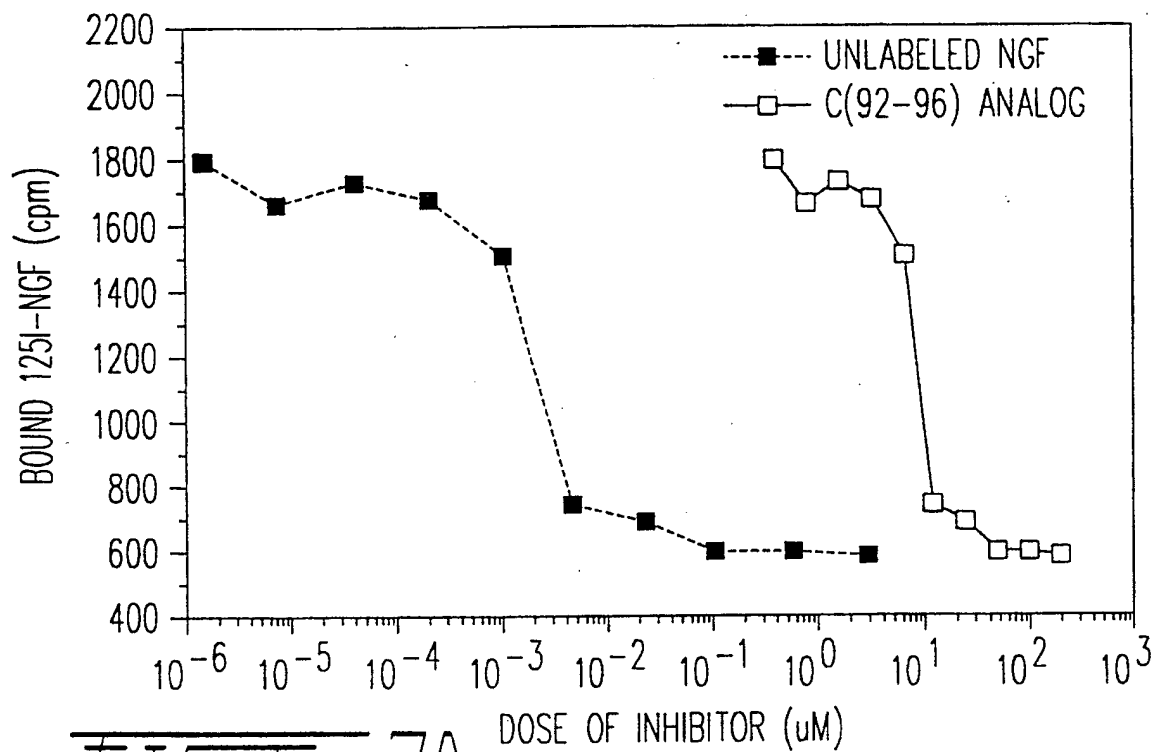
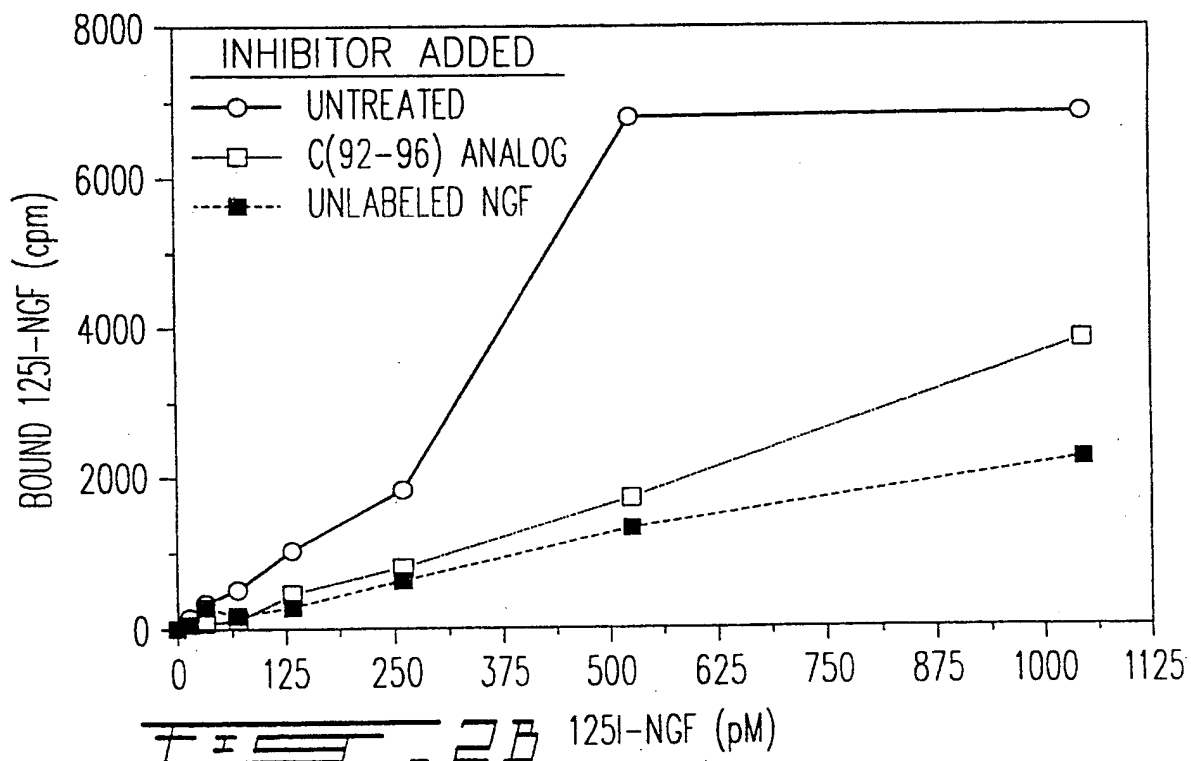


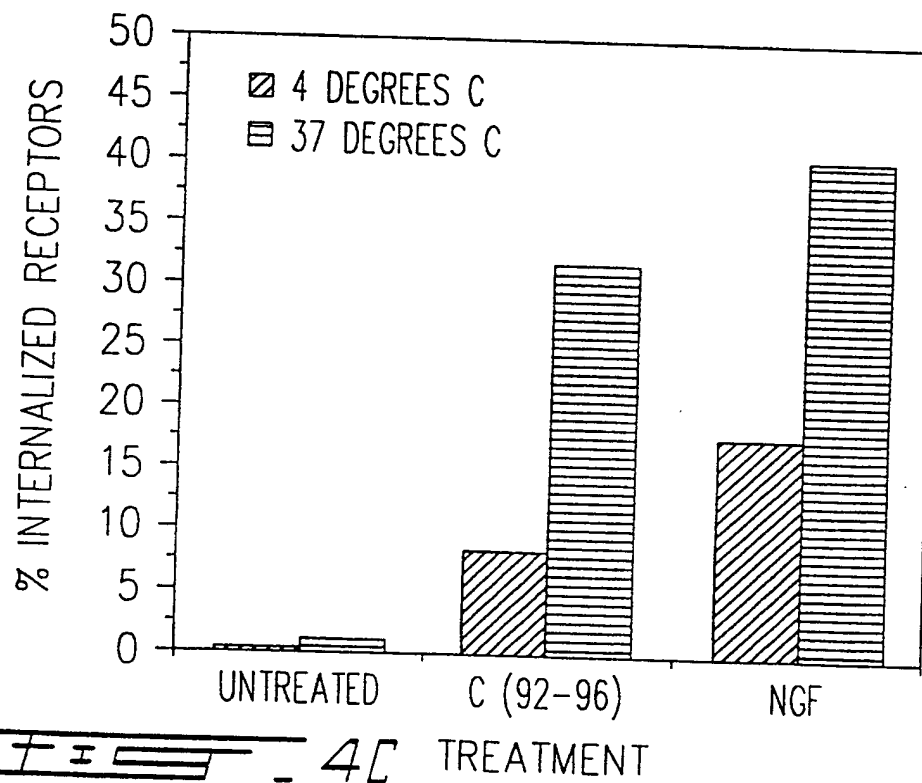
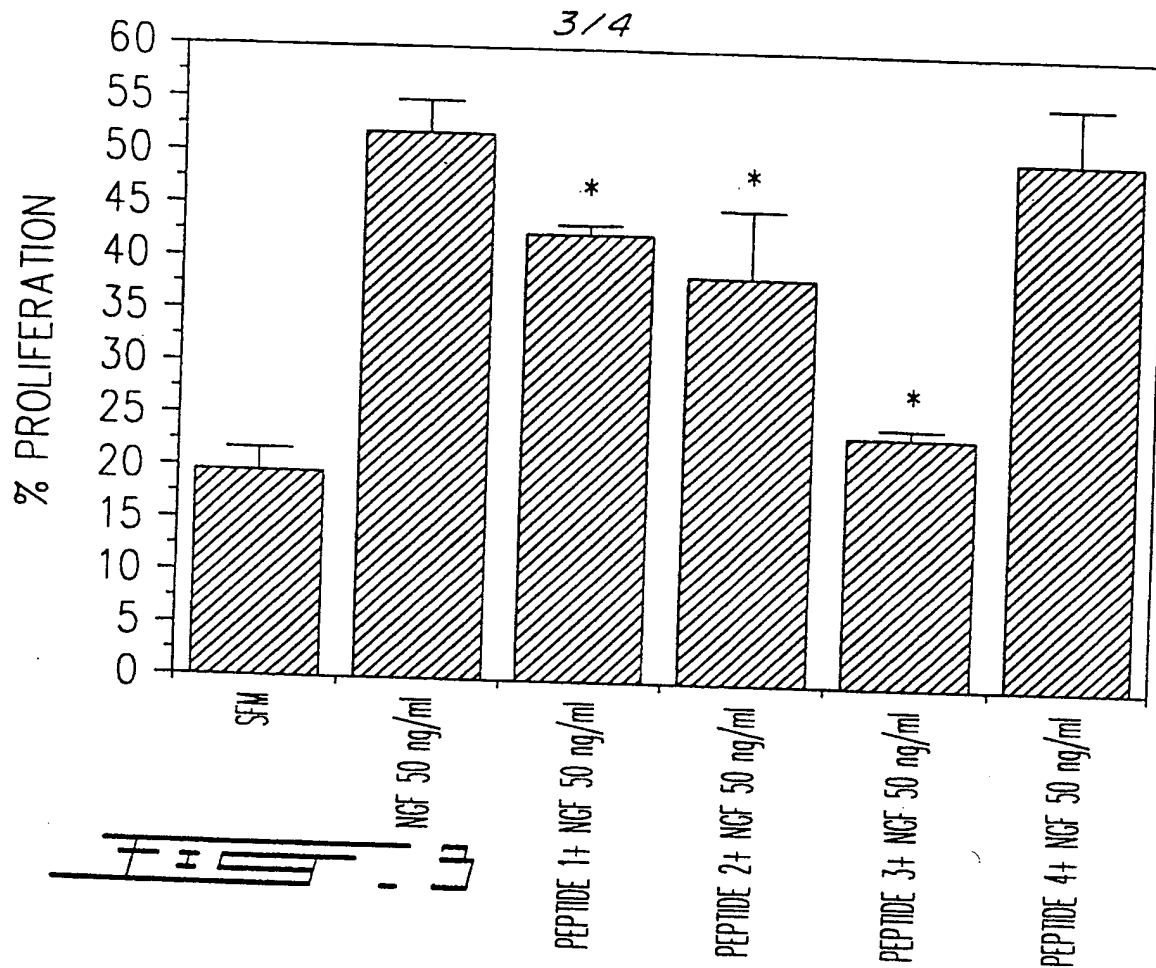
FIG. 1

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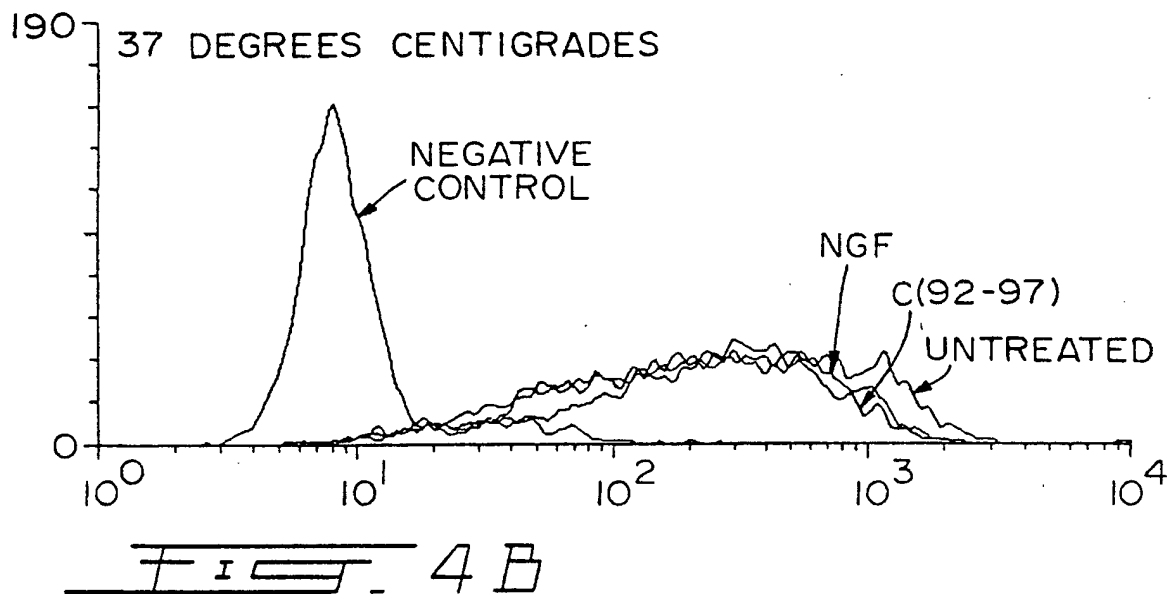
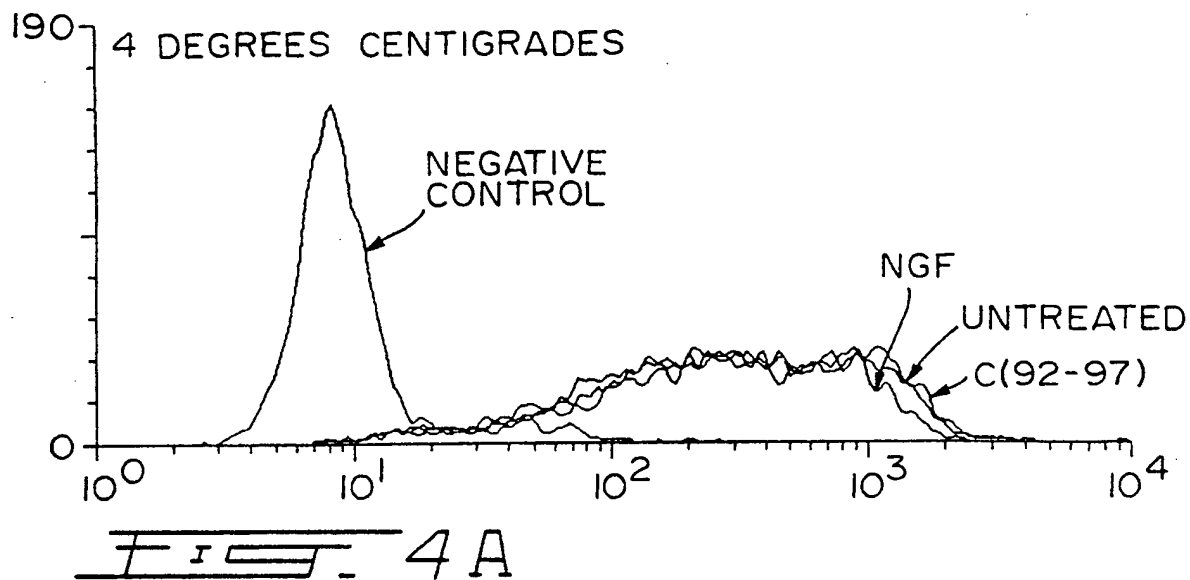
2/4

FIG. 2AFIG. 2B

SUBSTITUTE SHEET



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SUBSTITUTE SHEET

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C07K14/48 C07K7/64 A61K38/18 G01N33/68

According to International Patent Classification (IPC) or to national classification and IP

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C07K A61K G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP,A,0 335 637 (THE REGENTS OF UNIVERSITY OF CALIFORNIA) 4 October 1989 cited in the application see page 11, line 5-10; claim 11 ---	1,6,8, 10-16
T	JOURNAL OF BIOLOGICAL CHEMISTRY., vol.270, no.12, 24 March 1995, BALTIMORE US pages 6564 - 6569 L LESAUTEUR ET AL. 'Small peptide mimics of nerve growth factor bind TrkA receptors and affect biological responses' see the whole document --- -/--	1-16

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## \* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*G\* document member of the same patent family

Date of the actual completion of the international search

24 May 1995

Date of mailing of the international search report

07.06.95

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Authorized officer

Masturzo, P



## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>J NEUROSCIENCES, vol.13, no.7, July 1993, OXFORD UNIV. PRESS, NEW YORK, US pages 2853 - 2862 R A MURPHY ET AL 'Immunological relationship of NGF, BDNF and NT-3; recognition and functional inhibition by antibodies to NGF' -----</p>	1-16

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/CA95/00059

**Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
Remark: Although claims 10-12, 14, 16 and 13 (partially) refer to an in vivo diagnostic or therapeutic treatment of the human body, the search was carried out and based on the alleged effects of the compounds.

2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

☐ The additional search fees were accompanied by the applicant's protest.☐ No protest accompanied the payment of additional search fees.

### Information on patent family members

PCT/CA 95/00059

Form PCT/ISA/210 (patent family annex) (July 1992)

